

# Electron Spin

Chem 2340

In 1925 Uhlenbeck + Groudsmi: postulated that electrons have spin.

There is no classical analog.

In 1928 Dirac showed that relativistic QM  $\longrightarrow$  spin

We postulate that spin is associated with a set of operators that behave like angular momentum operators.

$$\hat{S}^2, \hat{S}_x, \hat{S}_y, \hat{S}_z$$

$$[\hat{S}_x, \hat{S}_y] = i\hbar\hat{S}_z, \quad [\hat{S}_y, \hat{S}_z] = i\hbar\hat{S}_x, \quad [\hat{S}_z, \hat{S}_x] = i\hbar\hat{S}_y$$

$$[\hat{S}^2, \hat{S}_x] = 0, \quad [\hat{S}^2, \hat{S}_y] = 0, \quad [\hat{S}^2, \hat{S}_z] = 0$$

$$\hat{S}^2\gamma = s(s+1)\hbar^2\gamma$$

$\uparrow$  eigenfunction, possible value of s, 0, 1/2, 1, 3/2...

$$\hat{S}_z\gamma = m_s\hbar\gamma, \text{ where } m_s = -s, -s+1, \dots, s$$

Electrons have  $s = 1/2$

Photons have  $s = 1$

$$\sqrt{1/2 \cdot 3/2} \hbar = \frac{\sqrt{3}}{2} \hbar = \text{magnitude of spin angular momentum of an electron.}$$

$$m_s = 1/2 \rightarrow \alpha, \quad m_s = -1/2 \rightarrow \beta$$

$$\hat{S}_z\alpha = 1/2\hbar\alpha, \quad \hat{S}_z\beta = -1/2\hbar\beta$$

$$\hat{S}^2\alpha = 3/4\hbar^2\alpha, \quad \hat{S}^2\beta = 3/4\hbar^2\beta$$

Levine adopts  $m_s$  as the spin coordinate

$$\alpha = \alpha(m_s); \quad \beta = \beta(m_s)$$

$$\langle \alpha | \alpha \rangle = 1, \quad \langle \beta | \beta \rangle = 1, \quad \langle \alpha | \beta \rangle = 0$$

In going forward we let  $d\tau$  denote integration over both spatial and spin coordinates (since spin is discrete this is actually a sum in this case).

H atom:  $\psi(x, y, z) \rightarrow \psi(x, y, z)\alpha, \psi(x, y, z)\beta$

The  $\hat{H}$  that we considered for the H atom is independent of spin, so the  $\alpha$  or  $\beta$  does not impact the energy.

Actually, one can tack onto H a term that couples  $\vec{L}$  and  $\vec{S}$ . In that case  $\ell$  and  $s$  cease to be "perfect" quantum numbers (when both are non-zero)

Let  $\{x_i, y_i, z_i, m_{s_i}\} = q_i$

Then for a many particle system  $\psi = \psi(q_2, q_1, q_3, \dots, q_n)$

## The Permutation Operator

$\hat{P}_{12}$  swaps coordinates of particles 1 and 2

$$\hat{P}_{12}\psi(q_1, q_2, q_3, \dots, q_n) = \psi(q_2, q_1, q_3, \dots, q_n)$$

The eigenvalues of  $\hat{P}_{12}$  are  $\pm 1$

Interchanging the coordinates of two electrons causes a change in sign of the wave function.

## Spin Statistics Theorem

Particles with spin,  $1/2, 3/2$ , etc. are fermions  
(antisymmetric wavefunctions)

Particles with spin  $0, 1, 2, \dots$  are bosons  
(symmetric wavefunctions)

For a system of identical fermions

$$\psi(q_1, q_2, q_3, \dots, q_n) = -\psi(q_2, q_1, q_3, \dots, q_n)$$

This  $\Rightarrow$  that if two electrons have the same  
coordinates (spin and space):  $\psi = 0$

## Helium atom

ground state  $1s(r_1)1s(r_2)[\alpha(1)\beta(2) - \beta(1)\alpha(2)]$

antisymmetry comes from the spin part of  
the wavefunction

What about the **1s2s** excited state?

$$\textcircled{1} [1s(r_1)2s(r_2) - 2s(r_1)1s(r_2)] \begin{cases} \alpha(1)\alpha(2) \\ \alpha(1)\beta(2) + \beta(1)\alpha(2) \\ \beta(1)\beta(2) \end{cases}$$

$$\textcircled{2} [1s(r_1)2s(r_2) + 2s(r_1)1s(r_2)][\alpha(1)\beta(2) - \beta(1)\alpha(2)]$$

For  $\textcircled{2}$  the antisymmetry comes from the spin function.

This is a singlet state.

For  $\textcircled{1}$  the antisymmetry comes from the spatial function.

This is a triplet state.

For the singlet state  $\hat{S}^2\psi = 0\psi$ , so  $S = 0$ ,  $M_s = 0$

For the triplet state  $\hat{S}^2\psi = 2(1)\hbar^2\psi$ , so  $S = 1$ ,  $M_s = -1, 0, 1$

How does this impact the energy?

$$\text{consider } \langle \psi_s | \hat{H} | \psi_s \rangle = \frac{1}{2} \int [1s(1)2s(2) + 2s(1)1s(2)] \hat{H} [1s(1)2s(2) + 2s(1)1s(2)] d\tau$$

Here we have integrated out the spin. So  $d\tau \Rightarrow d\vec{r}_1 d\vec{r}_2$

$$E_s = \int 1s(1)2s(2) \hat{H} 1s(1)2s(2) d\tau + \int 1s(1)2s(2) \hat{H} 2s(1)1s(2) d\tau$$

$$\begin{aligned} \text{Now consider } \langle \psi_T | \hat{H} | \psi_T \rangle &= \frac{1}{2} \int [1s(1)2s(2) - 2s(1)1s(2)] \hat{H} [1s(1)2s(2) - 2s(1)1s(2)] d\tau \\ &= \frac{1}{2} \int 1s(1)2s(2) \hat{H} 1s(1)2s(2) d\tau - \int 1s(1)2s(2) \hat{H} 2s(1)1s(2) d\tau \end{aligned}$$

Again the spin has been integrated out.

The 2<sup>nd</sup> integral is the exchange integral K

K is positive, so the triplet is below the singlet, and the states are split by 2K.

He atom in its ground state: Slater determinant

$$\frac{1}{\sqrt{2}} \begin{vmatrix} 1s(1)\alpha(1) & 1s(1)\beta(1) \\ 1s(2)\alpha(2) & 1s(2)\beta(2) \end{vmatrix} = |1s\bar{1}s| \leftarrow \begin{array}{|l} \hline \text{Shorthand} \\ \text{nomenclature} \\ \hline \end{array}$$

$$= \frac{1}{\sqrt{2}} [1s(1)1s(2)\alpha(1)\beta(2) - 1s(1)1s(2)\beta(1)\alpha(2)]$$

$$= \frac{1}{\sqrt{2}} 1s(1)1s(2)(\alpha\beta - \beta\alpha)$$

The energy can be approximated as

$$E = \langle 1s(r_1)1s(r_2) | H | 1s(r_1)1s(r_2) \rangle$$

$$= 2E_1^{(0)} + \int |1s(r_1)|^2 \frac{1}{r_{12}} |1s(r_2)|^2 d\vec{r}_1 d\vec{r}_2$$

$$= 2E_1^{(0)} + J_{1s,1s}$$

**1s2s** Triplet  $(1s2s - 2s1s)\alpha\alpha$

Singlet  $(1s2s + 2s1s)(\alpha\beta - \beta\alpha)$

J = Coulomb  
repulsion

$$E(T) = E_{1s}^{(0)} + E_{2s}^{(0)} + J_{1s,2s} - K_{1s,2s}$$

$$E(S) = E_{1s}^{(0)} + E_{2s}^{(0)} + J_{1s,2s} + K_{1s,2s}$$

Now let's consider the Li atom

$$\psi = \frac{1}{\sqrt{6}} \begin{vmatrix} 1s(1)\alpha(1) & 1s(1)\beta(1) & 2s(1)\alpha(1) \\ 1s(2)\alpha(2) & 1s(2)\beta(2) & 2s(2)\alpha(2) \\ 1s(3)\alpha(3) & 1s(3)\beta(3) & 2s(3)\alpha(3) \end{vmatrix} = |1s\bar{1}s2s\rangle$$

Note: the short-hand nomenclature for a Slater determinant

$$= \frac{1}{\sqrt{6}} (1s\bar{1}s2s - 1s2s\bar{1}s - \bar{1}s1s2s + \bar{1}s2s1s + 2s1s\bar{1}s - 2s\bar{1}s1s)$$

Note that this can not be written as a spatial function times a spin function

One can show that we only need to consider:

$$\begin{aligned} & \langle 1s\bar{1}s2s | H | 1s\bar{1}s2s - 1s2s\bar{1}s - \bar{1}s1s2s + \bar{1}s2s1s + 2s1s\bar{1}s - 2s\bar{1}s1s \rangle \\ &= \langle 1s\bar{1}s2s | H | 1s\bar{1}s2s \rangle - \langle 1s\bar{1}s2s | H | 2s\bar{1}s1s \rangle \end{aligned}$$

It doesn't matter which of the six terms we retain on the left.

$$2E_{1s}^{(0)} + E_{2s}^{(0)} + J_{1s,1s} + 2J_{1s,2s} - K_{1s,2s}$$

$$E^{(0)} = -275.5 \text{ eV}, \quad E^{(1)} = 83.5 \text{ eV} \rightarrow E^{(0)} + E^{(1)} = -192.0 \text{ eV}$$

Exact energy = -203.5 eV

If we optimize the exponents  $E = -201.2 \text{ eV}$

## He 1s2s excited states and Slater determinants

$$\frac{1}{\sqrt{2}} \begin{vmatrix} 1s2s \\ 1s2s \end{vmatrix} = \frac{1}{\sqrt{2}} (1s2s - 2s1s) \alpha\alpha$$

$$\chi_1 = \frac{1}{\sqrt{2}} \begin{vmatrix} 1s\bar{2s} \\ 1s2s \end{vmatrix} = \frac{1}{\sqrt{2}} (1s\bar{2s} - \bar{2s}1s) = \frac{1}{\sqrt{2}} (1s2s\alpha\beta - 2s1s\beta\alpha)$$

$$\chi_2 = \frac{1}{\sqrt{2}} \begin{vmatrix} \bar{1s}2s \\ \bar{1s}2s \end{vmatrix} = \frac{1}{\sqrt{2}} (\bar{1s}2s - 2s\bar{1s}) = \frac{1}{\sqrt{2}} (1s2s\beta\alpha - 2s1s\alpha\beta)$$

Note that  $\chi_1$  and  $\chi_2$  do not correspond to the singlet and triplet wavefunctions that we considered previously.

Lets consider:  $\frac{1}{\sqrt{2}} (\chi_1 + \chi_2)$

$$= \frac{1}{2} [1s2s\alpha\beta - 2s1s\beta\alpha + 1s2s\beta\alpha - 2s1s\alpha\beta]$$

$$= \frac{1}{2} [1s2s(\alpha\beta + \beta\alpha) - 2s1s(\alpha\beta + \beta\alpha)]$$

$$= \frac{1}{2} (1s2s - 2s1s)(\alpha\beta + \beta\alpha)$$

which is the  $M_s=0$  component of the triplet



$$\frac{1}{\sqrt{2}}(\chi_1 - \chi_2) = \frac{1}{2}(1s2s + 2s1s)(\alpha\beta - \beta\alpha)$$

is the wavefunction for the singlet.

If we use either  $\chi_1$  or  $\chi_2$  as the wavefunction in an electronic structure code, we would be describing a linear combination of the singlet and triplet states.

We can treat the triplet with a single Slater determinant, by using the  $\alpha\alpha$  spin arrangement.

Now lets consider the next few elements of the periodic table.

Be atom:	$ 1s\bar{1}s2s\bar{2}s $	$^1S$
B atom:	$ 1s\bar{1}s2s\bar{2}s2p $	$^2P$
C atom:	$ 1s\bar{1}s2s\bar{2}s2p^2 $	?

The C atom presents the first real challenge

$$M_L \quad \overline{+1} \quad \overline{0} \quad \overline{-1}$$

There are 15 possible arrangements of the two p electrons

$D_1$	$\uparrow\downarrow$	—	—	$M_L=2, M_S=0$	$^1D$
$D_2$	—	—	$\uparrow\downarrow$	$M_L=-2, M_S=0$	$^1D$
$D_3$	$\uparrow$	$\uparrow$	—	$M_L=1, M_S=1$	$^3P$
$D_4$	$\uparrow$	$\downarrow$	—	$M_L=1, M_S=0$	} ( $^1D, ^3P$ )
$D_5$	$\downarrow$	$\uparrow$	—	$M_L=1, M_S=0$	
$D_6$	$\downarrow$	$\downarrow$	—	$M_L=1, M_S=-1$	$^3P$
$D_7$	—	$\uparrow$	$\uparrow$	$M_L=-1, M_S=1$	$^3P$
$D_8$	—	$\downarrow$	$\uparrow$	$M_L=-1, M_S=0$	} ( $^1D, ^3P$ )
$D_9$	—	$\uparrow$	$\downarrow$	$M_L=-1, M_S=0$	
$D_{10}$	—	$\downarrow$	$\downarrow$	$M_L=-1, M_S=-1$	$^3P$
$D_{11}$	—	$\uparrow\downarrow$	—	$M_L=0, M_S=0$	
$D_{12}$	$\uparrow$	—	$\uparrow$	$M_L=0, M_S=1$	( $^3P$ )
$D_{13}$	$\uparrow$	—	$\downarrow$	$M_L=0, M_S=0$	
$D_{14}$	$\downarrow$	—	$\uparrow$	$M_L=0, M_S=0$	
$D_{15}$	$\downarrow$	—	$\downarrow$	$M_L=0, M_S=-1$	( $^3P$ )

We can immediately see that there is a  $^1D$  and a  $^3P$  state. This accounts for  $1 \times 5 + 3 \times 3 = 14$  combinations.

This means that there is also a  $^1S$  state.

$^3D$ ,  $^1P$ , or  $^3S$  would violate the Pauli principle.

There are 3 arrangements with  $M_L=0, M_S=0$

These give rise to  $^1D, ^1S, ^3P$

There are 3 arrangements with  $M_L=0, M_S=1$  and one with  $M_L=0, M_S=-1$ .

These are associated with  $^3P$

$${}^1\text{D} \quad p_1 p_1 (\alpha\beta - \beta\alpha) \\ p_{-1} p_{-1} (\alpha\beta - \beta\alpha)$$

$$(p_1 p_0 - p_0 p_1) \alpha\alpha$$

$${}^3\text{P} \quad (p_1 p_0 - p_0 p_1) \beta\beta$$

$$(p_1 p_0 - p_0 p_1) (\alpha\beta + \beta\alpha)$$

$$(p_1 p_0 + p_0 p_1) (\alpha\beta - \beta\alpha) \text{ must be } {}^1\text{D}, \text{ etc.}$$